



**PERSONAL FINANCIAL STATEMENT**

**As of**

Complete this form for: (1) each proprietor, or (2) each limited partner who owns 20% or more interest and each general partner, or (3) each stockholder owning 20% or more of voting stock, or (4) any person or entity providing a guaranty on the loan

Name	Business Phone
Residence Address City, State, & Zip Code	Residence Phone
Business Name of Applicant/Borrower	

ASSETS		LIABILITIES	
Cash on hand & in Banks.....\$		Accounts Payable.....\$	
Savings Accounts.....\$		Notes Payable to Banks and Others.....\$ (Describe in Section 2)	
IRA or Other Retirement Account.....\$		Installment Account (Auto).....\$ Mo. Payments \$	
Accounts & Notes Receivable.....\$		Installment Account (Other).....\$ Mo. Payments \$	
Life Insurance-Cash Surrender Value Only...\$ (Complete Section 8)		Loan on Life Insurance.....\$	
Stocks and Bonds.....\$ (Describe in Section 3)		Mortgages on Real Estate.....\$ (Describe in Section 4)	
Real Estate.....\$ (Describe in Section 4)		Unpaid Taxes.....\$ (Describe in Section 6)	
Automobile-Present Value.....\$		Other Liabilities.....\$ (Describe in Section 7)	
Other Personal Property.....\$ (Describe in Section 5)		<b>Total Liabilities.....\$</b>	
Other Assets.....\$ (Describe in Section 5)		<b>NET WORTH (ASSETS – LIABILITIES).....\$</b>	
<b>TOTAL ASSETS.....\$</b>		<b>TOTAL NET WORTH + LIABILITIES.....\$</b>	

Section 1. Source of Income		Contingent Liabilities	
Salary.....\$		As Endorser or Co-Maker.....\$	
Net Investment Income.....\$		Legal Claims & Judgments.....\$	
Real Estate Income.....\$		Provision for Federal Income Tax.....\$	
Other Income (Describe below)*.....\$		Monthly Rent.....\$	

Description of Other Income in Section 1.

\*Alimony or child support payments need not be disclosed in "Other Income" unless it is desired to have such payments counted toward total income.

**SECTION 2. Notes payable to Bank and Others** (Use attachments if necessary. Each attachment must be identified as part of this statement and signed.)

Name and Address of Note Holder(s)	Original Balance	Current Balance	Payment Amount	Frequency Monthly, etc.	How Secured or Endorsed* Type of Collateral

**SECTION 3. Stocks and bonds** (Use attachments if necessary. Each attachment must be identified as part of this statement and signed.)

Number of Shares	Name of Securities	Costs	Market Value Quotation/Exchange	Date of Quotation/Exchange	Total Value

**SECTION 4. Real Estate Owned** (List each parcel separately. Use attachments if necessary. Each attachment must be identified as part of this statement and signed.)

	Property A	Property B	Property C
Type of Property			
Name & Address of Title Holder			
Date Purchased			
Original Cost			
Present Market Value			
Name & Address of Mortgage Holder			
Mortgage Account Number			
Mortgage Balance			
Amount of Payment per Month/Year			
Status of Mortgage			
Second Mortgage Holder			
Second Mortgage Balance/Payment			
If additional loans on properties, Please attach additional sheets.			

**SECTION 5. Other Personal Property and Other Assets** (Describe, and if any is pledged as security, state name and address of lien holder, amount of lien, terms of payment, and if delinquent, describe delinquency.)

**SECTION 6. Unpaid Taxes.** (Describe in detail, as to type, to whom payable, when due, amount, and to what property, if any, a tax lien attaches.)

**SECTION 7. Other Liabilities** (Describe in detail.)

**SECTION 8. Life Insurance Held.** (Give face amount and cash surrender value of policies – Names of insurance company and beneficiaries.)

I authorize Cal Coastal RDC to make inquiries as necessary to verify the accuracy of the statements made and to determine my credit worthiness. I certify the above and the statements contained in the attachments are true and accurate as of the stated date(s). These statements are made for the purpose of either obtaining a loan or guaranteeing a loan. I understand FALSE statements may result in forfeiture of benefits and possible prosecution by the U.S. Attorney General (Reference 18 U.S.C. 101).

Signature: \_\_\_\_\_ Date: \_\_\_\_\_ Social Security Number: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_ Social Security Number: \_\_\_\_\_

**PLEASE NOTE:** The estimated average burden hours for the completion of this form is 1.5 hours per response. If you have questions or comments concerning this estimate or any other aspect of this information, please contact Chief, Administrative Branch, U.S. Small Business Administration, Washington, D.C. 20416, and Clearance Officer, Paper Reduction Project (3245-0188), Office of Management and Budget, Washington, D.C. 20503. **PLEASE DO NOT SEND FORMS TO OMB.**